

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: SZNAIDMAN, Marcos L. Art Unit: 1612

Re: Application of: KIM, Myung-Hwa, et al.

Serial No.: 10/562,615

Filed: July 27, 2006

For: **TRICYCLIC DERIVATIVES OR  
PHARMACEUTICALLY SALTS THEREOF,  
THEIR PREPARATIONS AND  
PHARMACEUTICAL COMPOSITIONS  
CONTAINING THEM**

Confirmation No.: 6138

**AMENDMENT AND RESPONSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

May 6, 2010

Sir:

In response to the Office Action dated November 12, 2009, the following amendments and remarks are provided.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 11 of this paper.

**CERTIFICATE**

I hereby certify that this correspondence is being EFS-Web or facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 6, 2010.

LUCAS & MERCANTI, LLP

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